

APPENDIX “B”



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231**

MAY 26, 1999

PTAS

GENERAL ELECTRIC COMPANY
TYLER MADDY
CRD PATENT DOCKET RM. 4A59, BLDG. K-1,
SALAMONE, P.O. BOX 8, 1 RESEARCH CIRCLE
SCHENECTADY, NY 12301

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100982329A

JAN - 4 1999

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 03/01/1999

REEL/FRAME: 9802/0674
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR : DUGGAL, ANIL RAJ DOC DATE: 02/12/1999

ASSIGNOR : SRIVASTAVA ALOK MANTHAN
DOC DATE: 02/18/1999

ASSIGNOR: DAVENPORT JOHN MARTIN DOC. DATE: 01/29/1999

ASSIGNOR: ECHLES, THOMAS FREDERICK DOC. DATE: 02/02/1999

ASSIGNOR: DEERS, WILLIAM WENDELL **DOC. DATE:** 02/02/1989

ASSIGNEE: _____

9802/0674 PAGE 2

SERIAL NUMBER: 09203214
PATENT NUMBER:

FILING DATE: 11/30/1998
ISSUE DATE:

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

JUN - 4 1999

03-15-1999

Docket No. RD-26,198

FORM PTO 1595
1-31-92

31-99

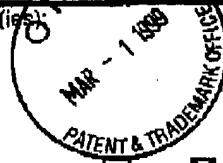
ET U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

100982329

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Anil Raj Duggal
 Alok Muni Srivastava
 John Martin Davenport
 Thomas Frederick Soulis
 William Winder Beers

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
 Security Agreement Change of Name
 Other

Execution Date: 2/12/99; 2/18/99
1/29/99; 2/2/99; 2/2/99

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: _____

Street Address: One River RoadCity: Schenectady State: NY ZIP: 12345Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application

2/12/99; 2/18/99; 1/29/99; 2/2/99; 2/2/99

A. Patent Application No.(s)

B. Patent No.(s)

09/203,214

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric CompanyInternal Address: CRD Patent Docket Rm. 4A59Bldg. K-1, SalamoneP.O. Box 8Street Address: One Research CircleCity: Schenectady State: NY ZIP: 123016. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$40.00 Enclosed Authorized to be charged to deposit account

8. Deposit account number:

07-0868

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Tyler Maddry
 Name of Person Signing

FEB. 23, 1999Total number of pages including cover sheet, attachments and document 4

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03/04/1999 TLUU11 00000099 070868 09203214

02 FC:581 40.00 CH

8/93

ASSIGNMENTDocket Number
RD-26,198

In consideration of One Dollar and Other good and valuable consideration, of which I acknowledge receipt, I (we)

Anil Raj Duggal	of Niskayuna, New York
Alok Mani Srivastava	of Schenectady, New York
John Martin Davenport	of Lyndhurst, Ohio
Thomas Frederick Soules	of Richmond Heights, Ohio
William Winder Beers	of Chesterland, Ohio
	of

sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

PHOSPHORS FOR WHITE LIGHT GENERATION FROM UV EMITTING DIODES

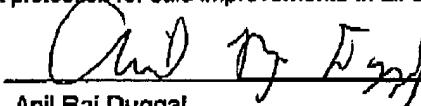
invented by me (us), as described in the application for United States patent

executed concurrently herewith, or
 U.S. Serial No.: 09/203,214, filed 11/30/98

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

Feb. 12, 1999

Date



Anil Raj Duggal

State of New York) SS:

County of Schenectady)

This 12th day of February, 1999, before me personally came the

above-named Anil Raj Duggal to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

JEAN G. MYERS
 Notary Public in the State of New York
 Qualified in Schenectady Co.
 No. 01MY5028850

2000 J. G. Myers
 (Notary Public)

My Commission Expires NOV 11, 2000

ASSIGNMENTDocket Number
RD-26,1982/18/99
DateAlok Mani Srivastava
Alok Mani SrivastavaState of New York) SS:County of Schenectady)

This 8th day of January, 1999, before me personally came the above-named Alok Mani Srivastava individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

JEAN G. MYERS

Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01MY3068850
My Commission Expires Nov. 11, 2000

Seal

(Notary Public)

Jean G. Myers1/29/99

Date

John Martin DavenportState of Ohio) SS:County of Cuyahoga)

This first day of February, 1999, before me personally came the above-named John Martin Davenport individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Jacqueline K. Massaro
JACQUELINE K. MASSARO
Notary Public - State of Ohio
My Commission Expires 2/27/02

Feb. 2, 1999Thomas Frederick SoulesState of Ohio) SS:County of Cuyahoga)

This 2nd day of February, 1999, before me personally came the above-named Thomas Frederick Soules individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

DIANE M. GETTLER
Notary Public, State of Ohio
My Commission Expires August 26, 2003

(Notary Public)

Diane M. Gettler

ASSIGNMENTDocket Number
RD-26,198Feb 2, 1999
DateWilliam Winder Beers
William Winder BeersState of Ohio) SS:County of Cuyahoga)

This 2nd day of February, 1999, before me personally came the above-named William Winder Beers individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

DIANE M. GETTLER
Notary Public, State of Ohio
My Commission Expires August 26, 2003

(Notary Public)

Date

State of _____) SS:

County of _____)

This _____ day of _____, 19_____, before me personally came the above-named _____ individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

Date

State of _____) SS:

County of _____)

This _____ day of _____, 19_____, before me personally came the above-named _____ individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)